

Nikkei-TOCOM Commodity Index Market Management Detailed Rules

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Article 1 (Purpose)

1. These Rules shall, pursuant to the provisions of Article 3.1 of the Market Rules, prescribe matters necessary for the management of the Nikkei-TOCOM Commodity Index Market.
2. When the Exchange deems it necessary to take emergency measures other than those prescribed in these Rules, the Exchange shall determine such other measures on a case-by-case basis, to be utilized in combination with those prescribed in these Rules.

Article 2 (Position Limits)

1. Exchange may limit the customer positions and proprietary positions of Members when deem necessary to prevent excessive speculation, pursuant to the provisions of Article 30.1 of the Market Rules.

Article 3 (Reporting of Positions)

1. (1) If the position at the close of the Day Session falls under any of the conditions set forth below, applicable separately for short positions and long positions, Trade Members, etc. shall report such positions of all contract month (contract day for the contract day transaction) to the Exchange, using the form separately prescribed by the Exchange by the following business day.
 - A. The total proprietary position exceeds 100 contracts.
 - B. In the case of Index Futures Transactions except Contract Day Index Futures Transaction, the proprietary position for each contract month exceeds 50 contracts.
 - C. In the case of Index Futures Transactions except Contract Day Index Futures Transaction, The customer position for each contract month (positions of a customer using separate accounts of pseudonyms shall be aggregate) exceeds 50 contracts.
 - D. For Contract Day Futures Transaction, the proprietary position exceeds 50 contracts.
 - E. For Contract Day Futures Transaction, the customer position (positions of a customer using separate accounts of pseudonyms shall be aggregate) exceeds 50 contracts.When the Exchange deems it particularly necessary, the Exchange may indicate different conditions.
- (2) When the Exchange deems it particularly necessary, the Exchange may, in addition to the reporting requirements prescribed in the preceding item, give a separate instruction to require Trade Members, etc., Intermediaries, an Foreign Commodity Futures Brokers to report on the positions of each customer or the Customer of Intermediary and the like (i.e.: Customer of Intermediary and those who make a request for intermediation of consignment of transactions to a Foreign Commodity Futures Broker), and other matters deemed necessary by the Exchange.

Article 4 (Revisions or Abolitions)

1. Revisions or abolitions to these Rules shall be approved by the President & CEO.

Supplementary Provisions

These Rules shall be in effect as of March 23, 2010.

Supplementary Provisions

Revisions to the provisions of Article 4 (Reporting of Positions) shall be in effect as of July 1, 2010.

Supplementary Provisions

Revisions to the provisions of Article 3 (Reporting of Positions) and Article 4 (Revisions or Abolitions) and deletion of Article 3 (Clearing Margins) shall be in effect as of January 1, 2011.

Supplementary Provisions

Revisions to the provisions of Article 3 (Reporting of Positions) shall be in effect as of February 1, 2011.

Supplementary Provisions

Revisions to the provisions of Article 1(Purpose) through Article 3 (Reporting of Positions) shall be in effect as of May 2, 2011.