

Off-floor Transactions Detailed Rules

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Article 1 (Purpose)

1. These Rules shall, pursuant to the provisions of Article 3.4-2 of the Market Rules, prescribe matters necessary for the administration of Off-floor Transaction.

Article 2 (Registration Price)

1. The registration price for Off-floor Transactions shall be a price falls under any of the following Items and agreed between the parties involved in the transaction:
 - (1) The Settlement Price of the same contract month (or contract day of in the case of Cash-settled Rolling-spot Futures Transaction, and Option Series of in the case of Options Transactions; the same shall apply hereinafter) at the Clearing Period in which the trade was registered;
 - (2) The price between the highest price and the lowest price of the same contract month at the Clearing Period in which the trade was registered;
 - (3) The price within $\pm 1\%$ of the Settlement Price of the same contract month at the Clearing Period immediately preceding the Period in which the trade was registered;
 - (4) A range between the highest price prescribed in item 2 and the -1% of the Settlement Price prescribed in item 3, or between the lowest price prescribed in item 2 and the +1% of the Settlement Price prescribed in item 3; and
 - (5) In addition to the price specified in each of the preceding items, the price determined by the Exchange to be appropriate and reasonable in consideration of the status of trading in the Market.

Article 3 (Registration Hours)

1. A registration for Off-floor Transactions shall be made during hours from 4:15 pm to 5:30 am on the following business day (or to 7:00 pm on that day in case of Rubber) or from 8:20 am to 4:00 pm.

Article 4 (Contract Months, etc. Eligible for Registration)

1. The Off-floor Transactions may be registered for the contract months provided for in Article 16 of the Market Rules.

Article 5 (Price Increments)

1. The price increments prescribed in the Off-floor Transaction Detailed Rules prescribed in Article 17.5 of the Market Rules shall be as follows:

- (1) Physically Delivered Futures Transactions

| Market | Listed Commodities Component Products | Price Increment |
|-----------------|---------------------------------------|-----------------|
| Rubber | RSS (Ribbed Smoked Sheet) | ¥0.001 |
| Precious Metals | Gold | ¥0.001 |
| | Silver | ¥0.0001 |
| | Platinum | ¥0.01 |
| | Palladium | ¥0.01 |
| Oil | Gasoline | ¥0.1 |

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|---------------------------------|-----------|--------|
| | Kerosene | ¥0.1 |
| | Gas Oil | ¥0.1 |
| Chukyo -oil | Gasoline | ¥0.1 |
| | Kerosene | ¥0.1 |
| Aluminum | Aluminum | ¥0.001 |
| Agricultural Product & Sugar | Soybeans | ¥1 |
| | Azuki | ¥0.1 |
| | Corn | ¥0.1 |
| | Raw Sugar | ¥0.1 |

(2) Cash-settled Monthly Futures Transactions

a. Products prescribed in Article 17.2.1.a of the Market Rules

| Market | Listed Commodities Component Products | Price Increment |
|-----------------|---------------------------------------|-----------------|
| Precious Metals | Gold | ¥0.01 |
| | Platinum | ¥0.01 |

b. Products prescribed in Article 17.2.1.b of the Market Rules

| Market | Listed Commodities Component Products | Price Increment |
|--------|---------------------------------------|-----------------|
| Oil | Gasoline | ¥0.1 |
| | Kerosene | ¥0.1 |
| | Gas Oil | ¥0.1 |

c. Products prescribed in Article 17.2.1.c of the Market Rules

| Market | Listed Commodities Component Products | Price Increment |
|--------|---------------------------------------|-----------------|
| Oil | Gasoline | ¥0.1 |
| | Kerosene | ¥0.1 |
| | Gas Oil | ¥0.1 |

d. Products prescribed in Article 17.2.1.d of the Market Rules

| Market | Listed Commodities Component Products | Price Increment |
|--------|---------------------------------------|-----------------|
| Oil | Crude Oil | ¥0.1 |

(3) Cash-settled Rolling-Spot Futures Transactions

| Market | Listed Commodities Component Products | Price Increment |
|-----------------|---------------------------------------|-----------------|
| Precious Metals | Gold | ¥0.01 |
| | Platinum | ¥0.01 |

(4) Options Transactions

| Market | Listed Commodities Component Products | Price Increment |
|-----------------|---------------------------------------|-----------------|
| Precious Metals | Gold | ¥0.01 |

Article 6 (Registration or Cancellation, etc.)

1. The Exchange determines that “the Off-floor Transaction is not appropriate” referred to in Article 31-2.4 of the Market Rules shall be the case when the request price does not meet the condition provided in each items of Article 2, the applied contract month does not meet the condition provided in Article 4, or the case that the Exchange deemed it inappropriate.
2. When the Exchange determines that the transaction is inappropriate as provided for in previous paragraph, the Exchange shall cancel such transaction by 5 p.m. after the day session of the Clearing Period in which said transaction was registered.

Article 7 (Recording on the Statutory Book)

1. Members (meaning Members prescribed in Article 6.1 of Market Rules; the same shall apply hereinafter) who carried out an Off-floor transaction shall record the transaction on the statutory book (Journals of Futures Transactions, etc.) in a manner that clearly indicates that the transaction was carried out as an Off-floor transaction.

Article 8 (Preservation of the Record)

1. Members who carried out an Off-floor transaction shall retain the record of application for an Off-floor transaction prescribed in Article 73.2 of the Brokerage Rules, in a manner similar to that applicable to the statutory book prescribed in the preceding article.

Article 9 (Inspection and Requesting Submission of Books and Other Documents)

1. The Exchange may, when deemed necessary, request Members to submit documents and other materials pertaining to the Off-floor transaction.

Article 10 (Revisions or Abolitions)

1. Revisions or abolitions to these detailed rules shall be approved by the President & CEO.

Supplementary Provisions

These detailed rules shall take effect on February 4, 2010.

Supplementary Provisions

Revisions to the provisions of Article 2 (Eligible Applicants), Article 5 (Recording on the Statutory Book), Article 6 (Preservation of Documents), Article 7 (Inspection and Requesting Submission of Books and Other Documents), and Article 8 (Revisions or Abolitions) and the newly established Article 3 (Contract Months Eligible for Block Transaction Requests) and Article 4 (Minimum Request Quantity of Block Transactions) shall take effect on December 24, 2010.

Supplementary Provisions

Revisions to the provisions of Article 1 (Purpose) and Article 3 (Contract Months Eligible for Block Transaction Requests) through Article 5 (Recording on the Statutory Book) shall take effect on May 2, 2011.

Supplementary Provisions

Revisions to the provisions of Article 2 (Eligible Applicants) and Article 5 (Recording on the Statutory Book) shall take effect on January 4, 2012.

Supplementary Provisions

Revisions to the provisions of Article 3 (Contract Months Eligible for Block Transaction Requests) shall take effect on March 1, 2012.

Supplementary Provisions

Revisions to the provisions of Article 4 (Minimum Request Quantity of Block Transactions) shall take effect on January 11, 2013.

Supplementary Provisions

Revisions to the provisions of Article 4 (Minimum Request Quantity of Block Transactions) shall take effect on February 12, 2013.

Supplementary Provisions

Revisions to the provisions of Article 2 (Eligible Applicants) shall take effect on March 31, 2014.

Supplementary Provisions

Revisions to the name of this rules and the provisions of Article 1 (Purpose) and Article 5 (Recording on the Statutory Book) through Article 8 (Revisions or Abolitions), and deletion of Article 2 (Recording on the Statutory Book) through Article 4 (Minimum Request Quantity of Block Transactions) shall take effect on April 1, 2015.

Supplementary Provisions

Article 1

Newly established Article 2 (Registration or Cancellation, etc.) through Article 6 (Price Increments), and the revisions to Article 2 (Recording on the Statutory Book) through Article 5 (Revision or Abolition) shall be in effect as of September 20, 2016.

Article 2

Notwithstanding the provisions of Article 1, in the event that there is operational trouble of the electronic trading system or other unavoidable reasons that it is deemed necessary by the Exchange, the effective date of preceding Article will be separately provided by the Exchange.

Supplementary Provisions

Revisions to the provisions of Article 6 (Price Increments), Article 7 (Recording on the Statutory Book), Article 8 (Preservation of Documents) and Article 9 (Inspection and Requesting Submission of Books and Other Documents) shall take effect on October 31, 2016.

Supplementary Provisions

Revisions to the provisions of Article 6 (Price Increments) shall take effect on March 21, 2017.

Supplementary Provisions

Newly established Article 6 (Registration or Cancellation, etc.), the revisions to the provisions of Article 1 (Purpose), Article 3 (Registration Price), Article 4 (registration Hours), Article 5 (Contract Months, etc. Eligible for Registration), Article 6 (Price Increments) and Article 8 (Preservation of Documents) and the deletion of Article 2 (Registration or Cancellation, etc.) shall take effect on May 8, 2017.

Supplementary Provisions

Revisions to the provisions of Article 4 (Contract Months, etc. Eligible for Registration) shall take effect on April 17, 2018.